

# The extremal function for disconnected minors

Endre Csóka<sup>\*</sup>   Irene Lo<sup>†</sup>   Sergey Norin<sup>‡</sup>   Hehui Wu<sup>§</sup>  
 Liana Yepremyan<sup>¶</sup>

September 4, 2015

## Abstract

For a graph  $H$  let  $c(H)$  denote the supremum of  $|E(G)|/|V(G)|$  taken over all non-null graphs  $G$  not containing  $H$  as a minor. We show that

$$c(H) \leq \frac{|V(H)| + \text{comp}(H)}{2} - 1,$$

when  $H$  is a union of cycles, verifying conjectures of Reed and Wood [13], and Harvey and Wood [5].

We derive the above result from a theorem which allows us to find two vertex disjoint subgraphs with prescribed densities in a sufficiently dense graph, which might be of independent interest.

## 1 Introduction

A classical theorem of Erdős and Gallai determines the minimum number of edges necessary to guarantee existence of a cycle of length at least  $k$  in a graph with a given number of vertices. (All the graphs considered in this paper are simple.)

---

<sup>\*</sup>Mathematics Institute, University of Warwick. Email: [csokaendre@gmail.com](mailto:csokaendre@gmail.com).

<sup>†</sup>Department of Industrial Engineering and Operations Research, Columbia University. Email: [iyl2104@columbia.edu](mailto:iyl2104@columbia.edu).

<sup>‡</sup>Department of Mathematics and Statistics, McGill University. Email: [snorin@math.mcgill.ca](mailto:snorin@math.mcgill.ca). Supported by an NSERC grant 418520.

<sup>§</sup>Department of Mathematics, University of Mississippi. Email: [hww@olemiss.edu](mailto:hww@olemiss.edu).

<sup>¶</sup>School of Computer Science, McGill University. Email: [liana.yepremyan@mail.mcgill.ca](mailto:liana.yepremyan@mail.mcgill.ca). Supported by an NSERC grant 418520.

**Theorem 1** (Erdős and Gallai [3]). *Let  $k \geq 3$  be an integer and let  $G$  be a graph with  $n$  vertices and more than  $(k-1)(n-1)/2$  edges. Then  $G$  contains a cycle of length at least  $k$ .*

One of the main results of this paper generalizes Theorem 1 to a setting where, instead of a single cycle with prescribed minimum length, we are interested in obtaining a collection of vertex disjoint cycles. In the case when there are no restrictions on the lengths of cycles this problem was completely solved by Dirac and Justesen, who proved the following.

**Theorem 2** (Dirac and Justesen [7]). *Let  $k \geq 2$  be an integer and let  $G$  be a graph with  $n \geq 3k$  vertices and more than*

$$\max \left\{ (2k-1)(n-k), n - \frac{(3k-1)(3k-4)}{2} \right\}$$

*edges. Then  $G$  contains  $k$  vertex disjoint cycles.*

We phrase our extensions of the above results in the language of minors. A graph  $H$  is a *minor* of a graph  $G$  if a graph isomorphic to  $H$  can be obtained from a subgraph of  $G$  by contracting edges. Mader [10] proved that for every graph  $H$  there exists a constant  $c$  such that every graph on  $n \geq 1$  vertices with at least  $cn$  edges contains  $H$  as a minor. A well-studied extremal question in graph minor theory is determining the optimal value of  $c$  for a given graph  $H$ . Denote by  $v(G)$  and  $e(G)$  the number of edges and vertices of a graph  $G$ , respectively. Following Myers and Thomason [11], for a graph  $H$  with  $v(H) \geq 2$  we define  $c(H)$  as the supremum of  $e(G)/v(G)$  taken over all non-null graphs  $G$  not containing  $H$  as a minor. We refer to  $c(H)$  as *the extremal function of  $H$* .

The extremal function of complete graphs has been extensively studied. Dirac [2], Mader [10], Jørgensen [6], and Song and Thomas [14] proved that  $c(K_t) = t - 2$  for  $t \leq 5$ ,  $t \leq 7$ ,  $t = 8$  and  $t = 9$ , respectively. Thomason [16] determined the precise asymptotics of  $c(K_t)$ , proving

$$c(K_t) = (\alpha + o_t(1))t\sqrt{\log t},$$

for an explicit constant  $\alpha = 0.37\dots$ . Myers and Thomason [11] have extended the results of [16] to general dense graphs, while Reed and Wood [13] and Harvey and Wood [4] have recently proved bounds on  $c(H)$  for sparse graphs, with the main result of [13] implying that

$$c(H) \leq 3.895v(H)\sqrt{\ln d(H)},$$

for graphs  $H$  with average degree  $d(H) \geq d_0$  for some absolute constant  $d_0$ .

The extremal function was explicitly determined for several structured families of graphs. In particular, Chudnovsky, Reed and Seymour [1] have shown that  $c(K_{2,t}) = (t+1)/2$  for  $t \geq 2$ , and Kostochka and Prince [9] proved that  $c(K_{3,t}) = t + 3$  for  $t \geq 6300$ .

We determine the extremal function of 2-regular graphs in which every component has odd number of vertices. Let  $kH$  denote the disjoint union of  $k$  copies of the graph  $H$ . Note that Theorems 1 and 2 imply that  $c(C_k) = (k+1)/2$  for  $k \geq 3$ , and  $c(kC_3) = 2k - 1$  for  $k \geq 1$ . For a general 2-regular graph  $H$  Reed and Wood [13] conjectured that  $c(H) \leq 2v(H)/3 - 1$ , and Harvey and Wood [5, Conjecture 5.5] conjectured that  $c(kC_r) \leq (r+1)/2 - 1$  for  $r \geq 3, k \geq 1$ . Our first result verifies these conjectures.

**Theorem 3.** *Let  $H$  be a disjoint union of cycles. Then*

$$c(H) \leq \frac{v(H) + \text{comp}(H)}{2} - 1. \tag{1}$$

It is not hard to see and is shown in Section 2 that, if every component of  $H$  is odd, then the bound (1) is tight.

Theorem 3 follows immediately from Theorem 1 and the following more general result, which we prove in Section 2.

**Theorem 4.** *Let  $H$  be a disjoint union of 2-connected graphs  $H_1, H_2, \dots, H_k$ . Then*

$$c(H) \leq c(H_1) + c(H_2) + \dots + c(H_k) + k - 1.$$

Theorem 4 additionally allows us to determine the extremal function for the disjoint union of small complete minors.

**Corollary 5.**  $c(kK_t) = kt - k - 1$  for  $k \geq 1$  and  $3 \leq t \leq 9$ .

Let us note that the restriction on connectivity of components of  $H$  in Theorem 4 is an artefact of the proof method, and the following conjecture of Qian, which motivated our work, relaxes this restriction.

**Conjecture 6** (Qian [12]). *Let  $H$  be a disjoint union of non-null graphs  $H_1$  and  $H_2$  then*

$$c(H) \leq c(H_1) + c(H_2) + 1.$$

We prove Theorem 4 by showing that the graph  $G$  with at least  $(c(H_1) + c(H_2) + \dots + c(H_k) + k - 1)v(G)$  edges contains  $k$  vertex disjoint subgraphs  $G_1, \dots, G_k$ , such that  $G_i$  is sufficiently dense to guarantee  $H_i$  minor for every  $1 \leq i \leq k$ . The bulk of the paper is occupied by the proof of the following technical theorem, which accomplishes that.

**Theorem 7.** *Let  $s, t \geq 1$  be real, and let  $G$  be a non-null graph with  $e(G) > (s + t + 1)(v(G) - 1)$ . Then there exist vertex disjoint non-null subgraphs  $G_1$  and  $G_2$  of  $G$  such that  $e(G_1) > s(v(G_1) - 1)$  and  $e(G_2) > t(v(G_2) - 1)$ .*

In Section 2 we derive Theorem 4 from Theorem 7. We prove Theorem 7 in Section 3.

## 2 Proof of Theorem 4

In this section we derive Theorem 4 from Theorem 7 and prove a couple of easy related results.

Theorem 7 is naturally applicable to the following variant of the extremal function. For a graph  $H$  with  $v(H) \geq 3$  define  $c'(H)$  to be the supremum of  $e(G)/(v(G) - 1)$  taken over all graphs  $G$  with  $v(G) > 1$  not containing  $H$  as a minor. Theorem 7 implies the following variant of Conjecture 6.

**Corollary 8.** *Let  $H$  be a disjoint union of graphs  $H_1$  and  $H_2$  such that  $v(H_1), v(H_2) \geq 3$ . Then*

$$c'(H) \leq c'(H_1) + c'(H_2) + 1.$$

*Proof.* Let  $s = c'(H_1)$  and  $t = c'(H_2)$ . Clearly  $s, t \geq 1$ . Let  $G$  be a non-null graph such that  $e(G) > (s+t+1)(v(G)-1)$ . Let  $G_1$  and  $G_2$  be the subgraphs of  $G$  satisfying the conclusion of Theorem 7. Then  $G_i$  contains  $H_i$  as a minor for  $i = 1, 2$ . Therefore  $G$  contains  $H$  as a minor, as desired.  $\square$

We derive Theorem 4 from Corollary 8 using the following observation.

**Lemma 9.** *Let  $H$  be a 2-connected graph then  $c'(H) = c(H)$ .*

*Proof.* Let  $c = c(H)$ . Clearly  $c'(H) \geq c$ . Suppose for a contradiction that  $c'(H) > c$ , and there exists a graph  $G$  such that  $e(G) > c(v(G) - 1)$  and  $G$  does not contain  $H$  as a minor. Let the graph  $G_k$  be obtained from  $k$  disjoint copies of  $G$  by gluing them together on a single vertex. (I.e.  $G_k = G^1 \cup G^2 \dots G^k$ , where  $G^i$  is isomorphic to  $G$  for  $1 \leq i \leq k$  and there exists  $v \in V(G)$  such that  $V(G^i) \cap V(G^j) = \{v\}$  for all  $1 \leq i < j \leq k$ .) It is well known that if a graph contains a 2-connected graph as a minor then one of its maximal two connected subgraphs also contains it. Thus  $G_k$  does not contain  $H$  as a minor. However, for sufficiently large  $k$  we have

$$\frac{e(G_k)}{v(G_k)} = \frac{ke(G)}{k(v(G) - 1) + 1} = c + \frac{k(e(G) - c(v(G) - 1)) - c}{k(v(G) - 1) + 1} > c(H),$$

a contradiction.  $\square$

*Proof of Theorem 4.* By Corollary 8 and Lemma 9 we have

$$c(H) \leq c'(H) \leq \sum_{i=1}^k c'(H_i) + k - 1 = \sum_{i=1}^k c(H_i) + k - 1. \quad \square$$

In the remainder of the section we discuss lower bounds on the extremal function. Let  $\tau(H)$  denote *the vertex cover number* of the graph  $H$ , that is the minimum size of the set  $X \subseteq V(H)$  such that  $H - X$  is edgeless.

**Lemma 10.**  $c(H) \geq \tau(H) - 1$  for every graph  $H$ .

*Proof.* Let  $t = \tau(H) - 1$ , and let  $\bar{K}_{t,n-t}$  denote the graph on  $n \geq t$  vertices obtained from the complete bipartite graph  $K_{t,n-t}$  by making the  $t$  vertices in the first part of the bipartition pairwise adjacent. Then  $\tau(G) \leq t$  for every minor  $G$  of  $\bar{K}_{t,n-t}$ . Therefore  $H$  is not a minor  $\bar{K}_{t,n-t}$ , and

$$\frac{e(\bar{K}_{t,n-t})}{v(\bar{K}_{t,n-t})} = \frac{nt - t(t+1)/2}{n} \rightarrow t,$$

as  $n \rightarrow \infty$ . □

The following corollary follows immediately from Lemma 10 and implies that the bound in Theorem 3 is tight whenever all components of  $H$  are odd cycles, as claimed in the introduction.

**Corollary 11.** *For every 2-regular graph  $H$  with  $\text{odd}(H)$  odd components we have*

$$c(H) \geq \frac{v(H) + \text{odd}(H)}{2} - 1.$$

We finish this section by proving Corollary 5.

*Proof of Corollary 5.* By the results of [2, 6, 10, 14] we have  $c(K_t) = t - 2$  for  $3 \leq t \leq 9$ . Therefore  $c(kK_t) \leq kt - k - 1$  by Theorem 4. On the other hand,  $\tau(kK_t) = k\tau(K_t) = k(t - 1)$ . Thus  $c(kK_t) \geq kt - k - 1$  by Lemma 10. □

### 3 Proof of Theorem 7

We prove Theorem 7 by first constructing a fractional solution and then rounding it in two stages.

Let  $n = v(G)$ , and assume  $V(G) = [n] := \{1, 2, \dots, n\}$  for simplicity. Let  $S^G := [0, 1]^{V(G)}$ . We will use bold letters for elements of  $S^G$  and denote components of a vector  $\mathbf{x} \in S^G$  by  $x_1, x_2, \dots, x_n$ . For  $r \in [0, 1]$ , we denote by  $\mathbf{r}$  a constant vector  $(r, r, \dots, r) \in S^G$ . For  $\mathbf{x} \in S^G$  let  $e(\mathbf{x}) = \sum_{ij \in E(G)} x_i x_j$ .

Suppose that  $x_i \in \{0, 1\}$  for every  $i \in V(G)$ , and let  $A = \{i \in V(G) \mid x_i = 1\}$  and  $B = V(G) - A$ . If  $e(\mathbf{x}) > \mathbf{s} \cdot \mathbf{x} - s$ ,  $e(\mathbf{1} - \mathbf{x}) > \mathbf{t} \cdot (\mathbf{1} - \mathbf{x}) - t$ ,  $\mathbf{x} \neq \mathbf{1}$  and  $\mathbf{x} \neq \mathbf{0}$ , then the subgraphs  $G_1$  and  $G_2$  of  $G$  induced by  $A$  and  $B$ , respectively, satisfy the conditions of the theorem.

The above observation motivates to consider the following functions. Let

$$f(\mathbf{x}) = e(\mathbf{x}) - \left(s + \frac{1}{2}\right) \cdot \mathbf{x},$$

and let

$$g(\mathbf{x}) = e(\mathbf{1} - \mathbf{x}) - \left(t + \frac{1}{2}\right) \cdot (\mathbf{1} - \mathbf{x}).$$

We say that  $\mathbf{x} \in S^G$  is *balanced* if

$$f(\mathbf{x}) > -\frac{(s + \frac{1}{2})^2}{s + t + 1}, \quad (2)$$

$$g(\mathbf{x}) > -\frac{(t + \frac{1}{2})^2}{s + t + 1}, \quad (3)$$

$$\|\mathbf{x}\|_1 \geq s + 1, \text{ and} \quad (4)$$

$$\|\mathbf{1} - \mathbf{x}\|_1 \geq t + 1. \quad (5)$$

**Claim 1:** There exists a balanced  $\mathbf{x} \in S^G$ .

*Proof.* Let  $\mathbf{x} \equiv (s + \frac{1}{2})/(s + t + 1)$ . Note that  $v(G) \geq 2(s + t + 1)$ , as  $v(G)(v(G) - 1)/2 \geq e(G) > (s + t + 1)(v(G) - 1)$ . Therefore

$$\|\mathbf{x}\|_1 = \frac{s + \frac{1}{2}}{s + t + 1} v(G) \geq 2s + 1 \geq s + 1,$$

and (4) holds for  $\mathbf{x}$ . Further,

$$\begin{aligned} f(\mathbf{x}) &= \left(\frac{s + \frac{1}{2}}{s + t + 1}\right)^2 e(G) - \left(s + \frac{1}{2}\right) \frac{s + \frac{1}{2}}{s + t + 1} n \\ &= \left(\frac{s + \frac{1}{2}}{s + t + 1}\right)^2 (e(G) - (s + t + 1)n) \\ &> -\frac{(s + \frac{1}{2})^2}{s + t + 1}, \end{aligned}$$

implying (2). The inequalities (3) and (5) hold by symmetry.  $\square$

For  $\mathbf{x} \in S^G$  let  $\text{fr}(\mathbf{x}) = \{i \in [n] \mid 0 < x_i < 1\}$  denote the set of vertices corresponding to the non-integral values of  $\mathbf{x}$ .

**Claim 2:** Let a balanced  $\mathbf{x} \in S^G$  be chosen so that  $|\text{fr}(\mathbf{x})|$  is minimum. Then  $\text{fr}(\mathbf{x})$  is a clique in  $G$ .

*Proof.* Suppose for a contradiction that there exist  $i, j \in \text{fr}(\mathbf{x})$  such that  $ij \notin E(G)$ . Then  $f(\mathbf{x})$  and  $g(\mathbf{x})$  are linear as functions of  $x_i$  and  $x_j$ . That is, there exists linear functions  $\delta_f(\mathbf{v}), \delta_g(\mathbf{v})$ , such that  $f(\mathbf{x} + \mathbf{v}) = f(\mathbf{x}) + \delta_f(\mathbf{v})$  and  $g(\mathbf{x} + \mathbf{v}) = g(\mathbf{x}) + \delta_g(\mathbf{v})$  for every  $\mathbf{v} = (v_1, \dots, v_n)$  satisfying  $v_k = 0$  for every  $k \notin \{i, j\}$ . Therefore there exists a vector  $\mathbf{v} \neq 0$  as above, such that  $\delta_f(\mathbf{v}) \geq 0$  and  $\delta_g(\mathbf{v}) \geq 0$ . Let  $\varepsilon$  be chosen maximum so that  $0 \leq \mathbf{x} + \varepsilon\mathbf{v} \leq 1$ . Then inequalities (2) and (3) hold for  $\mathbf{x} + \varepsilon\mathbf{v}$  by the choice of  $\mathbf{v}$ .

Suppose that  $\|\mathbf{x} + \varepsilon\mathbf{v}\|_1 < s + 1$ . Then there exists  $0 < \varepsilon' < \varepsilon$  such that  $\|\mathbf{x}'\|_1 = s + 1$ , where  $\mathbf{x}' = \mathbf{x} + \varepsilon'\mathbf{v}$ . Therefore

$$-\frac{(s + \frac{1}{2})^2}{s + t + 1} < f(\mathbf{x} + \varepsilon'\mathbf{v}) \leq \frac{(s + 1)^2}{2} - \left(s + \frac{1}{2}\right)(s + 1).$$

The above implies

$$\left(\frac{1}{2} - \frac{1}{s + t + 1}\right) \left(s + \frac{1}{2}\right)^2 < \frac{1}{8},$$

which is clearly contradictory for  $s, t \geq 1$ . Thus (4) (and, symmetrically, (5)) holds for  $\mathbf{x} + \varepsilon\mathbf{v}$ . It follows that  $\mathbf{x} + \varepsilon\mathbf{v}$  is balanced, contradicting the choice of  $\mathbf{x}$ .  $\square$

Let  $\mathbf{y}$  be balanced such that  $C := \text{fr}(\mathbf{y})$  is a clique. As we can no longer continue to modify  $f(\mathbf{y})$  and  $g(\mathbf{y})$  linearly as in Claim 2, we adjust them as follows. Let  $A = \{i \in [n] \mid x_i = 1\}$ ,  $B = \{i \in [n] \mid x_i = 0\}$ ,  $a = |A|$ ,  $b = |B|$  and  $c = |C|$ . Let  $q = \sum_{i \in C} y_i$ , and let  $r = \lfloor q \rfloor$ . For  $x \in S^G$ , let

$$\bar{f}(\mathbf{x}) = r \sum_{i \in C} x_i - \frac{r(r+1)}{2} - \sum_{\{i,j\} \subseteq C} x_i x_j + e(\mathbf{x}) - \mathbf{s} \cdot \mathbf{x},$$



and let

$$\begin{aligned}\bar{g}(\mathbf{x}) &= (c - r - 1) \sum_{i \in C} (1 - x_i) - \frac{(c - r)(c - r - 1)}{2} \\ &\quad - \sum_{\{i, j\} \subseteq C} (1 - x_i)(1 - x_j) + e(\mathbf{1} - \mathbf{x}) - \mathbf{t} \cdot (\mathbf{1} - \mathbf{x}).\end{aligned}$$

**Claim 3:** Let  $\mathbf{x} \in S^G$  be such that  $x_i \in \{0, 1\}$  for  $i \in C$ . Then  $\bar{f}(\mathbf{x}) \leq e(\mathbf{x}) - \mathbf{s} \cdot \mathbf{x}$ , and  $\bar{g}(\mathbf{x}) \leq e(\mathbf{1} - \mathbf{x}) - \mathbf{t} \cdot (\mathbf{1} - \mathbf{x})$ .

*Proof.* To verify the first inequality it suffices to show that

$$r \sum_{i \in C} x_i - \frac{r(r+1)}{2} - \sum_{\{i, j\} \subseteq C} x_i x_j \leq 0,$$

for every  $\mathbf{x} \in \{0, 1\}^C$ . Let  $p = \sum_{i \in C} x_i$ . We have

$$\begin{aligned}r \sum_{i \in C} x_i - \frac{r(r+1)}{2} - \sum_{\{i, j\} \subseteq C} x_i x_j \\ = rp - \frac{r(r+1)}{2} - \frac{p(p-1)}{2} = \frac{p - r - (p-r)^2}{2} \leq 0,\end{aligned}$$

as desired. The inequality  $\bar{g}(\mathbf{x}) \leq e(\mathbf{1} - \mathbf{x}) - \mathbf{t} \cdot (\mathbf{1} - \mathbf{x})$  follows analogously.  $\square$

By Claim 3 it suffices to find  $\mathbf{x} \in \{0, 1\}^{[n]}$  such that  $\bar{f}(\mathbf{x}) > -s$ ,  $\bar{g}(\mathbf{x}) > -t$ ,  $\mathbf{x} \neq \mathbf{1}$  and  $\mathbf{x} \neq \mathbf{0}$ . We start by estimating  $\bar{f}(\mathbf{y})$  and  $\bar{g}(\mathbf{y})$ .

**Claim 4:** We have

$$\bar{f}(\mathbf{y}) > \frac{a}{2} + \frac{q^2}{2c} - \frac{(s + \frac{1}{2})^2}{s + t + 1} \tag{6}$$

and

$$\bar{g}(\mathbf{y}) > \frac{b}{2} + \frac{(c - q)^2}{2c} - \frac{(t + \frac{1}{2})^2}{s + t + 1} \tag{7}$$

*Proof.* It suffices to prove (6), as (7) is symmetric. We have

$$\begin{aligned}
\bar{f}(\mathbf{y}) - f(\mathbf{y}) &= \frac{1}{2}(q + a) + rq - \frac{r(r+1)}{2} - \sum_{\{i,j\} \subseteq C} y_i y_j \\
&= \frac{1}{2}(q + a) + rq - \frac{r(r+1)}{2} - \frac{q^2}{2} + \frac{1}{2} \sum_{i \in C} y_i^2 \\
&\geq \frac{1}{2}(q + a) + rq - \frac{r(r+1)}{2} - \frac{q^2}{2} + \frac{q^2}{2c} \\
&= \frac{1}{2}(q + a) - \frac{r}{2} - \frac{(q-r)^2}{2} + \frac{q^2}{2c} \\
&\geq \frac{1}{2}(q + a) - \frac{q}{2} + \frac{q^2}{2c} = \frac{a}{2} + \frac{q^2}{2c}.
\end{aligned}$$

As  $\mathbf{y}$  is balanced, (6) follows.  $\square$

Note that Claim 4 implies that  $\bar{f}(\mathbf{y}) > -s$  and  $\bar{g}(\mathbf{y}) > -t$ .

We assume now that

$$r \leq 2s \quad \text{and} \quad c - r - 1 \leq 2t \quad (8)$$

The other cases are easier, as we will exploit the fact that the complete subgraph  $G_1$  of  $G$  on more than  $2s$  vertices satisfies the theorem requirements.

The proof of the next claim is analogous to that of Claim 2 and we omit it.

**Claim 5:** There exists  $\mathbf{z} \in S^G$  such that  $\bar{f}(\mathbf{z}) \geq \bar{f}(\mathbf{y})$ ,  $\bar{f}(\mathbf{z}) \geq \bar{f}(\mathbf{y})$ ,  $z_i = y_i$  for every  $i \in V(G) - C$ ,  $\|\mathbf{z}\|_1 > 1$ ,  $\|\mathbf{1} - \mathbf{z}\|_1 > 1$  and  $|\text{fr}(\mathbf{z})| \leq 1$ .

Consider a vector  $\mathbf{z}$  that satisfies Claim 5. Let  $i \in C$  be a vertex such that  $z_j \in \{0, 1\}$  for every  $j \in V(G) - \{i\}$ . We suppose without loss of generality that  $z_i \leq \frac{1}{2}$ , as the case  $z_i \geq \frac{1}{2}$  is analogous due to symmetry between  $\mathbf{z}$  and  $\mathbf{1} - \mathbf{z}$ . Let  $\mathbf{z}^*$  be obtained from  $\mathbf{z}$  by setting  $z_i^* = 0$ . Then  $\mathbf{z}^* \neq \mathbf{1}$ ,  $\mathbf{z}^* \neq \mathbf{0}$ , and, as noted above, it suffices to show that  $\bar{f}(\mathbf{z}^*) > -s$  and  $\bar{g}(\mathbf{z}^*) > -t$ . We do this in the next two claims.

**Claim 6:**  $\bar{f}(\mathbf{z}^*) > -s$ .

*Proof.* Let  $x = z_i$  for brevity. We have  $\bar{f}(\mathbf{z}^*) \geq \bar{f}(\mathbf{z}) - (r + a - s)x$ . Recall that  $\mathbf{y}$  is balanced, and  $\|y\|_1 \leq r + a + 1$ . Therefore by (4) we have  $s \leq r + a$ , and using (6) we have

$$\begin{aligned}\bar{f}(\mathbf{z}^*) &> \frac{a}{2} + \frac{q^2}{2c} - \frac{(s + \frac{1}{2})^2}{s + t + 1} - (r + a - s)x \\ &\geq \frac{s - q}{2} + \frac{q^2}{2c} - \frac{(s + \frac{1}{2})^2}{s + t + 1},\end{aligned}$$

as  $x \leq \frac{1}{2}$ ,  $r \leq q$ . By (8), it suffices to show

$$\frac{3}{2}s - \frac{(s + \frac{1}{2})^2}{s + t + 1} \geq \frac{q}{2} - \frac{q^2}{2(q + 2t + 1)}.$$

As the right side increases with  $q$  for fixed  $s$  and  $t$ , it suffices to verify this inequality when  $q = 2s + 1$ . In this case we have

$$\begin{aligned}\frac{3}{2}s - \frac{(s + \frac{1}{2})^2}{s + t + 1} &= \frac{2s^2 + 6st + 2s - 1}{4(s + t + 1)} \\ &\geq \frac{2s + 4st + 2t + 1}{4(s + t + 1)} = \frac{2s + 1}{2} - \frac{(2s + 1)^2}{2(2s + 2t + 2)}.\end{aligned}$$

as desired.  $\square$

**Claim 7:**  $\bar{g}(\mathbf{z}^*) > -t$ .

*Proof.* To simplify the notation we prove the symmetric statement for  $\bar{f}$  instead. That is, if  $z_i \geq \frac{1}{2}$  and  $\mathbf{z}^*$  is obtained from  $\mathbf{z}$  by setting  $z_i$  to 1, we show that  $\bar{f}(\mathbf{z}^*) > -s$ . Denote  $1 - z_i$  by  $x$  for the duration of this claim. Then  $\bar{f}(\mathbf{z}^*) \geq \bar{f}(\mathbf{z}) + (r - s)x$ . If  $r \geq s$  the claim follows directly from Claim 4, and so we assume  $s \geq r$ . Using (6) and the inequality  $s \leq r + a$ , which was shown to hold in Claim 6, we have

$$\begin{aligned}\bar{f}(\mathbf{z}^*) &\geq \frac{a}{2} + \frac{q^2}{2c} - \frac{(s + \frac{1}{2})^2}{s + t + 1} + (r - s)x \\ &\geq \frac{a + r - s}{2} + \frac{q^2}{2c} - \frac{(s + \frac{1}{2})^2}{s + t + 1} \\ &\geq \frac{q^2}{2c} - \frac{(s + \frac{1}{2})^2}{s + t + 1} \geq -s,\end{aligned}$$

as desired.  $\square$

We have now proved the theorem in the case when (8) holds. Therefore without loss of generality we assume that  $c - r - 1 > 2t$ . We will need the following variant of Claims 2 and 5.

**Claim 8:** There exists  $\mathbf{z} \in \{0, 1\}^{V(G)}$  such that  $\bar{f}(\mathbf{z}) \geq \bar{f}(\mathbf{y})$ ,  $\sum_{i \in C} z_i \leq \lceil \sum_{i \in C} y_i \rceil$ , and  $z_i = y_i$  for every  $v \in V(G) - C$ .

*Proof.* The argument analogous to the proof of Claim 2, applied to the linear functions  $\bar{f}$  and  $-\sum_{i \in C} x_i$ , instead of  $f$  and  $g$ , implies existence of  $\mathbf{z}' \in S^G$  such that  $\bar{f}(\mathbf{z}') \geq \bar{f}(\mathbf{y})$ ,  $\sum_{i \in C} z'_i \leq \sum_{i \in C} y_i$ ,  $z_i = y_i$  for every  $v \in V(G) - C$ , and  $|\text{fr}(\mathbf{z}')| \leq 1$ .

Let  $i \in C$  be such that  $z'_j \in \{0, 1\}$  for every  $j \in V(C) - \{i\}$ . Let  $k = r + |\{j \in A \mid ij \in E(G)\}| - s$  be the coefficient of  $z_i$  in  $\bar{f}$  considered as a linear function of  $z_i$ . Let  $\mathbf{z}$  be obtained from  $\mathbf{z}'$  by setting  $z_i = 1$  if  $k \geq 0$ , and by setting  $z_i = 0$ , otherwise. Then  $\bar{f}(\mathbf{z}) \geq \bar{f}(\mathbf{z}')$ , and  $\mathbf{z}$  satisfies the claim.  $\square$

Finally, we consider a vector  $\mathbf{z}$  that satisfies Claim 8, and let  $W = \{i \in C \mid z_i = 0\}$ . As

$$\sum_{i \in C} z_i \leq \left\lceil \sum_{i \in C} y_i \right\rceil \leq r + 1,$$

we have  $|W| \geq c - r - 1 > 2t$ . Thus the subgraphs  $G_1$  and  $G_2$  of  $G$  induced on  $\{i \in V(G) \mid z_i = 1\}$  and  $W$ , respectively, satisfy the conditions of the theorem.

## 4 Concluding remarks

### Improving Theorem 7.

The following conjecture strengthening several aspects of Theorem 7, appears to be plausible and implies Conjecture 6.

**Conjecture 12.** *Let  $s, t \geq 0$  be real, and let  $G$  be a non-null graph with  $e(G) \geq (s + t + 1)v(G)$ . Then there exist vertex disjoint non-null subgraphs*

$G_1$  and  $G_2$  of  $G$  such that  $e(G_1) \geq sv(G_1)$ ,  $e(G_2) \geq tv(G_2)$ , and  $V(G_1) \cup V(G_2) = V(G)$ .

Adjusting the parameters involved in the proof of Theorem 7 one can prove a number of weakenings of Conjecture 12. In particular, Wu using these methods proved the following.

**Theorem 13** (Wu [18]). *Conjecture 12 holds if  $s = t$ , or  $e(G) \geq (s + t + \frac{3}{2})v(G)$ .*

Finally, let us note that a beautiful theorem of Stiebitz can be considered as a direct analogue of Conjecture 12 for minimum, rather than average, degrees.

**Theorem 14** (Stiebitz [15]). *Let  $s, t \geq 0$  be integers, and let  $G$  be a graph with minimum degree  $s + t + 1$ . Then there exist vertex disjoint subgraphs  $G_1$  and  $G_2$  with  $V(G_1) \cup V(G_2) = V(G)$  such that the minimum degree of  $G_1$  is at least  $s$  and the minimum degree of  $G_2$  is at least  $t$ .*

Unfortunately, we were unable to adapt the proof of Theorem 14 to Conjecture 12.

### Improving Theorem 3.

The bound on the extremal function provided by Theorem 3 is not tight when some, but not all, components of  $H$  are even cycles. A stronger conjecture below, which differs only slightly from [5, Conjecture 5.7], if true would determine the extremal function for all 2-regular graphs.

**Conjecture 15.** *Let  $H$  be a 2-regular graph with  $\text{odd}(H)$  odd components, then*

$$c(H) = \frac{v(H) + \text{odd}(H)}{2} - 1,$$

*unless  $H = C_{2l}$ , in which case  $c(H) = (2l - 1)/2$ , or  $H = kC_4$ , in which case  $c(H) = 2k - \frac{1}{2}$ .*

**Asymptotic density.**

Let  $\text{ex}_m(n, H)$  denote the maximum number of edges in a graph on  $n$  vertices not containing  $H$  as a minor. Then

$$c(H) = \sup_{n \geq 1} \left\{ \frac{\text{ex}_m(n, H)}{n} \right\}.$$

The asymptotic density of graphs not containing  $H$  as a minor is determined by a different function

$$c_\infty(H) = \limsup_{n \rightarrow \infty} \left\{ \frac{\text{ex}_m(n, H)}{n} \right\},$$

defined by Thomason in [17]. If  $H$  is connected then  $c(H) = c_\infty(H)$ , however the equality does not necessarily hold for disconnected graphs which are the subject of this paper. Some of the more advanced tools in graph minor theory could be used to bound  $c_\infty(H)$ , and Kapadia and Norin [8] were able to establish the following asymptotic analogues of Conjectures 6 and 15.

**Theorem 16.** *Let  $H$  be a disjoint union of non-null graphs  $H_1$  and  $H_2$  then*

$$c_\infty(H) \leq c_\infty(H_1) + c_\infty(H_2) + 1.$$

**Theorem 17.** *Let  $H$  be a 2-regular graph with  $\text{odd}(H)$  odd components, then*

$$c_\infty(H) = \frac{v(H) + \text{odd}(H)}{2} - 1,$$

*unless  $H = C_{2l}$ , in which case  $c_\infty(H) = (2l - 1)/2$ , or  $H = kC_4$ , in which case  $c_\infty(H) = 2k - \frac{1}{2}$ .*

**Acknowledgement.** This research was partially completed at a workshop held at the Bellairs Research Institute in Barbados in April 2015. We thank the participants of the workshop and Rohan Kapadia for helpful discussions. We are especially grateful to Katherine Edwards, who contributed to the project, but did not want to be included as a coauthor.

## References

- [1] Maria Chudnovsky, Bruce Reed, and Paul Seymour. The edge-density for  $K_{2,t}$  minors. *J. Combin. Theory Ser. B*, 101(1):18–46, 2011.
- [2] G. A. Dirac. Homomorphism theorems for graphs. *Math. Ann.*, 153:69–80, 1964.
- [3] P. Erdős and T. Gallai. On maximal paths and circuits of graphs. *Acta Math. Acad. Sci. Hungar*, 10:337–356 (unbound insert), 1959.
- [4] Daniel J. Harvey and David R. Wood. Average degree conditions forcing a minor. 2015. arXiv:1506.01775.
- [5] Daniel J. Harvey and David R. Wood. Cycles of given size in a dense graph, 2015. arXiv:1502.03549.
- [6] Leif K. Jørgensen. Contractions to  $K_8$ . *J. Graph Theory*, 18(5):431–448, 1994.
- [7] P. Justesen. On independent circuits in finite graphs and a conjecture of Erdős and Pósa. In *Graph theory in memory of G. A. Dirac (Sandbjerg, 1985)*, volume 41 of *Ann. Discrete Math.*, pages 299–305. North-Holland, Amsterdam, 1989.
- [8] Rohan Kapadia and Sergey Norin. In preparation.
- [9] A. V. Kostochka and N. Prince. Dense graphs have  $K_{3,t}$  minors. *Discrete Math.*, 310(20):2637–2654, 2010.
- [10] W. Mader. Homomorphiesätze für Graphen. *Math. Ann.*, 178:154–168, 1968.
- [11] Joseph Samuel Myers and Andrew Thomason. The extremal function for noncomplete minors. *Combinatorica*, 25(6):725–753, 2005.

- [12] Yingjie Qian. Private communication.
- [13] Bruce Reed and David R. Wood. Forcing a sparse minor, 2014. arXiv:1402.0272.
- [14] Zi-Xia Song and Robin Thomas. The extremal function for  $K_9$  minors. *J. Combin. Theory Ser. B*, 96(2):240–252, 2006.
- [15] Michael Stiebitz. Decomposing graphs under degree constraints. *J. Graph Theory*, 23(3):321–324, 1996.
- [16] Andrew Thomason. The extremal function for complete minors. *J. Combin. Theory Ser. B*, 81(2):318–338, 2001.
- [17] Andrew Thomason. Disjoint unions of complete minors. *Discrete Math.*, 308(19):4370–4377, 2008.
- [18] Hehui Wu. Private communication.